



## 2019 BSA 4-Part Webinar Series

*This comprehensive job-specific series will provide the most current BSA rules, trends, and guidance to ensure compliance.*

**Pick and choose the session(s) that best meet your training needs or purchase the entire series and Save 10%!**

Some of the more challenging concerns facing the financial profession today are Bank Secrecy Act (BSA/AML) compliance, due diligence, monitoring, and reporting. Constant effort is needed to stay ahead of the curve. Enforcement actions have increased, and regulators expect financial institutions to have a strong “culture of compliance.” This series is one-stop shopping for lending, operations, board, and frontline employees’ BSA required compliance training.

### **BSA Series Topics**

- Job-Specific BSA Training for Lenders
- Job-Specific BSA Training for Operations
- Job-Specific BSA Training for the Board
- Job-Specific BSA Training for the Frontline

### **Session Dates & Descriptions**

**Wednesday, May 8, 2019; 1:00 – 2:30 p.m.**

**Job-Specific BSA Training for Lenders**

**Susan Costonis, Compliance Consulting and Training for Financial Institutions**

BSA examiners are digging deeper and asking more questions about the lending function. Could a BSA examiner find loan fraud that was missed by your institution’s due diligence process? Does your institution have a system for identifying false statements and identity theft attempts on loan applications? Do your lenders know these activities may require filing a suspicious activity report? Is your process for documenting beneficial ownership effective? This program will address five important reasons BSA training must be provided for lenders.

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**Tuesday, Tuesday, June 4, 2019; 1:00 – 2:30 p.m.**

**Job-Specific BSA Training for Operations**

**Susan Costonis, Compliance Consulting and Training for Financial Institutions**

Operations staff must have effective, ongoing training to meet several critical BSA requirements. This webinar will examine three focal points of transaction testing sections of the BSA exam process. Real-life examples of suspicious activities detected by deposit operations staff will be included, along with practical tips for processing and protecting the confidentiality of time-sensitive 314(a) requests. This session will include examples of CIP deficiencies in recent BSA enforcement actions and provide additional monitoring tools.

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**Wednesday, June 26, 2019; 1:00 – 2:30 p.m.**

**Job-Specific BSA Training for the Board**

**Dawn Kincaid, Brode Consulting Services, Inc.**

The ultimate responsibility for BSA compliance lies with the board of directors. They must understand the importance of BSA/AML regulatory requirements, ramifications of noncompliance, and the risks posed to your institution. Without a general understanding, the board cannot adequately provide BSA/AML oversight; approve related policies, procedures, and processes; or provide sufficient BSA/AML resources. Regulators are sending a definite signal regarding the importance of BSA compliance via recent penalties and enforcement actions against financial institutions without strong BSA programs. This is a must-attend session for your board and executive management team!

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**Tuesday, July 16, 2019; 1:00 – 2:30 p.m.**

**Job-Specific BSA Training for the Frontline**

**Dawn Kincaid, Brode Consulting Services, Inc.**

The frontline is the first line of defense in identifying and reporting suspicious activity. Therefore, it's crucial that frontline staff receive initial and annual training on BSA/AML requirements and fully understand what is expected during their day-to-day duties. This session will detail BSA/AML rule components, including red flags for money laundering, CIP documentation, CDD rules, OFAC screening, CTR completion, maintaining information for the sale of monetary instruments, and much more! Arm your frontline with the knowledge and tools needed to comply.

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**100% Satisfaction Guarantee and Convenient!**

Listen to the webinar via your: iPad, iPhone, or Android

Instructions will be emailed with the webinar link.

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**MAKE PLANS TO ATTEND THE  
INDEPENDENT BANKERS OF COLORADO'S 4<sup>TH</sup> ANNUAL  
BSA/AML MILE HIGH SUMMIT  
TUESDAY, JULY 30, 2019  
FEDERAL RESERVE BANK OF KANSAS CITY, DENVER**

## Three Registration Options

**1. Live Webinar** – The **live webinar** option allows you to have **one internet connection from a single computer terminal**. You may have as many people as you like listen and watch from your office computer. Registrants receive a website address and passcode that allows entrance to the seminar. The session will be approximately 90 minutes, including question and answer sessions. Seminar materials, including instructions, passcode, and handouts will be emailed prior to the broadcast. You will need the most-current version of Adobe Reader available free at [www.adobe.com](http://www.adobe.com).

**2. RECORDED WEBINAR and FREE DIGITAL DOWNLOAD – Can't attend the live webinar?** This option provides a recording of the live event, including audio, visuals, and handouts. We even provide the presenter's email address for follow-up questions. You will receive an email with the recorded webinar link, which can be viewed anytime 24/7, beginning 6 business days **after** the webinar. You will also receive instruction on how to download a **free digital copy** of the webinar to your PC, which you may keep and use indefinitely. The recorded webinar may **ONLY** be ordered for 6 months following the live webinar. In addition, the download must be completed within 6 months of the live webinar date.

**3. BOTH LIVE WEBINAR, RECORDED WEBINAR, and FREE DIGITAL DOWNLOAD** – Options 1 and 2 described above.

## Registration Form

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